

(Abstract)

Kannur University - Ph.D. Programme - Regulations - Kannur University Ph.D. Degree Regulations, 2022 - Implemented - Orders Issued.

Research and Development Cell

RDC/C1/Ph.D Regulations/2023

Kannur, Dated: 03.06.2023

- Read:-1. University Grants Commission (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulations, 2022 notified in the Gazette of India [No. 544, Part III Section 4] Extra Ordinary on November 7th 2022.
2. Vice Chancellor's Orders dated 17.11.2022 in File No. RD/C1/6748/2022.
3. Syndicate Resolution Item No. 2022.627 in its meeting held on 20.12.2022
4. Vice Chancellors Orders dated 03.05.2023 to place Kannur University Ph.D. Regulations, 2022 (Draft) before Committee on Course, Research, Library and Publications (CRLP).
5. Minutes of the Meeting of CRLP held on 08.05.2023.
6. Vice Chancellors Orders dated 30.05.2023 endorsed on the hard copy of D/Kannur University Ph.D. Regulations, 2022.
7. Syndicate Resolution Item No. 2023. 296 in its meeting held on 30.05.2023.

ORDER

1. University Grants Commission issued notification vide paper read (1) implementing University Grants Commission (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulations, 2022. It was required to prepare a comprehensive regulation for Ph.D. Programme of Kannur University in tune with the UGC Ph.D. Degree Regulations 2022.
2. Vice Chancellor has accorded sanction to implement the UGC (Minimum Standards and Procedure for Award of Ph.D. Degree) Regulations, 2022 as per paper read (2), and the same was noted by the Syndicate vide paper read (3).
3. Accordingly, a draft regulation, viz., Kannur University Ph.D. Degree Regulations, 2022 was prepared and submitted to the Vice Chancellor. The Vice Chancellor vide paper read (4) approved the draft regulations subject to the detailed study by the Committee on Course, Research, Library and Publications (CRLP).
4. The draft of the Kannur University Ph.D. Degree Regulations, 2022 was placed before Committee on Course, Research, Library and Publications for a detailed study and the Committee subsequently submitted its recommendations vide paper read (5).
5. As per paper read (6), The Vice Chancellor approved the CRLP recommendations with some modifications and placed the draft regulations before the Syndicate for approval.
6. Syndicate in its meeting held on 30.05.2023, has approved the draft Ph.D. Regulations, 2022 vide paper read (7) with some modifications on the matter of exemption of MPhil Degree holders from doing course work.

7. The following orders are therefore issued on the matter on implementing the Syndicate resolution vide item No. 2023.296.

- i. The regulation for Ph.D. Programme in Kannur University, viz. "**Kannur University Ph.D. Degree Regulations, 2022**" (as appended) is implemented in the University.
- ii. The above regulation will be effective for Ph.D. Programme from 2022-23.
- iii. All Research Scholars, registering under Kannur University Ph.D. Programme with effect from the academic year 2022 - 23 will abide by the above regulation.
- iv. Research Supervisors and Scholars concerned shall abide by the Regulations and any amendments, modifications, deletions, etc. issued from time to time by the University to the above regulations.

Orders are issued accordingly.

Sd/-

Dr. Anil R

DIRECTOR (Research and Development Cell)

For Director

To: 1. Heads of the Research Centres.
2. Research Supervisors.

Copy To: 1. PS to VC/ PA to PVC/R/CE/FO
2. Director, IT Centre - For Posting on the University Website
3. DR - RDC/RDC - A/B/C/D Sections

Forwarded / By Order


SECTION OFFICER



KANNUR UNIVERSITY

Ph.D. Degree Regulations, 2022

Kannur University Ph.D. Regulations, 2022

KANNUR UNIVERSITY

Ph.D. Degree Regulations, 2022.

1. Preamble

1.1 Kannur University Ph.D. Degree Regulations, 2022 are framed in supersession of the "Kannur University (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulations, 2018" of Kannur University; and in accordance with the University Grants Commission (Minimum Standards and Procedure for Award of Ph.D. Degree) Regulations, 2022 notified in the Gazette of India [No. 544, Part III Section 4] Extra Ordinary on November 7th 2022.

1.2 The Kannur University offers research programmes in different subjects listed in Statutes of the University, and in inter-disciplinary areas leading to the Degree of Doctor of Philosophy. A candidate shall ordinarily work in a recognized place of research including the University Departments, Research Institutes and Affiliated Colleges recognized by the University. The Standards and Procedures for Award of Ph.D. Degree of the University shall be as per this Regulation.

2. Short Title, Application, And Commencement:

2.1 These Regulations shall be called "**Kannur University Ph.D Degree Regulations, 2022**"

2.2 These Regulations shall apply to the University Research Departments as well as approved Research Centres in affiliated colleges and other Research Centres recognized by the University for the purpose of research.

2.3 These Regulations shall come into force from the date of its approval by the **University**.

2.4 All cases registered and pending for processing before the date of the implementation of this rules and regulations shall be governed by respective rule and regulations.

3. Eligibility Criteria For Admission To The Ph.D. Programme: The following are eligible to seek admission to the Ph.D. programme:

3.1 Candidates who have completed a 1-year/2-semester Master's Degree programme after a 4-

year/8-semester Bachelor's Degree programme or a 2-year/4-semester Master's Degree programme after a 3-year/6-semester bachelor's Degree programme or qualifications declared equivalent to the Master's Degree by any Indian, Foreign Universities recognized by UGC and AIU respectively, with at least 55% marks in aggregate or its equivalent grade in a pointscale wherever grading system is followed.

- 3.2 Provided that a candidate seeking admission after a 4-year/8-semester bachelor's degree programme should have a minimum of 75% marks in aggregate or its equivalent grade on a point scale wherever the grading system is followed.
- 3.3 A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the University/State Government from time to time.
- 3.4 Candidates who have appeared for their final semester P.G. degree examinations and awaiting result are also eligible to apply. They, however, need to produce the eligibility certificates before the commencement of coursework.
- 3.5 Candidates who have completed the M.Phil. programme with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed or equivalent qualification shall be eligible to proceed to do research work leading to the Ph.D. Degree. A relaxation of 5% marks or its equivalent grade shall be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per decision of the State government / University from time to time.

4. Duration of the Programme

- 4.1 Ph.D. programme shall be for a minimum duration of three (3) years , including course work, and a maximum of six (6) years from the date of admission to the Ph.D. programme.
- 4.2 A maximum of an additional two (2) years can be given through a process of **re-registration** as per the Statute/Ordinance of Kannur University; provided, the total period for completion of a Ph.D. programme should not exceed eight (8) years from the date of admission to the Ph.D. programme (Extension beyond the above limits, a maximum of two years, in

exceptional circumstances, may be permitted by the Vice Chancellor for the completion of the research work and submission of thesis.)

- 4.3 Provided further that, female Ph.D. scholars and Persons with Disabilities (having more than 40% disability) may be allowed an additional relaxation of two (2) years; provided, the total period for completion of a Ph.D. programme in such cases should not exceed ten (10) years from the date of admission to the Ph.D. programme.
- 4.4 Female Ph.D. Scholars may be provided Maternity Leave/Child Care Leave for up to 240 days in the entire duration of the Ph.D. programme.

5. Procedure for Admission

- 5.1 The admission shall be based on the criteria notified by the University and taking into account the reservation policy of the Central/State Government from time to time.
- 5.2 The University shall notify a prospectus well in advance on the official website specifying the number of seats for admission, subject/discipline-wise distribution of available seats, criteria for admission, the procedure for admission, and all other relevant information for the candidates.
- 5.3 The registration to the Ph.D. programme is also governed by the available vacancies in each subject of study, availability of research supervisors and other academic as well as physical facilities specific to the area of the research proposed by the candidate.

5.4 Entrance Test

- 5.4.1. The Entrance Test shall consist of questions based on Research methodology (50%) and the subject/broad field of study concerned (50%).
- 5.4.2. The duration of the examination shall be two hours.
- 5.4.3. The maximum marks for the examination shall be 70 and consists of descriptive and multiple choice questions.
- 5.4.4. The Question paper shall consist of two parts
 - a. Part A – 35 Marks
Consisting of multiple-choice (20 Marks) and descriptive questions (15 Marks) related to research aptitude, mathematical/numerical ability/logical reasoning, English language competence and computer awareness.

b. Part B – 35 Marks

Consisting of objective type questions (20 Marks) & descriptive type questions (15 Marks) from the subject concerned. The syllabus will be notified on the University website at the time of Ph.D. notification.

5.4.5. Candidates require a minimum of 50% marks, 45% marks in the case of SC/ST/OBC/differently-abled category, Economically Weaker Section (EWS) and other categories of candidates as per decision of the State government / University from time to time as the case may be, in the entrance examinations to be ranked in the merit list.

5.4.6. Candidates who qualify for fellowship/scholarship in UGC-NET/UGC- CSIR NET/GATE/CEED and similar National/State level tests are exempted from the Entrance Test.

5.4.7. The identity of the candidate is not to be revealed in the answer script.

5.5. Interview

5.5.1. Candidates who have qualified in the entrance test and those who are exempted from entrance test need to attend the Interview conducted by a duly constituted Department Research Committee (DRC). The Interview shall carry 30 marks.

5.5.2. In the interview/ *viva-voce*, the candidates would be required to discuss their research interest/area through a synopsis presentation. The final selection of the candidates will be done by the Department Research Committee

5.5.3. The Department Research Committee shall assess whether

- a. The candidate possesses the competence for the proposed research
- b. The proposed research can contribute to new/additional knowledge.

5.6. The candidate will have to secure a minimum of 35 marks in the written test and 15 marks in the interview and 55% marks in aggregate.

6. Allocation of Research Supervisor

Eligibility criteria to be a Research Supervisor, Co-Supervisor, Number of Ph.D. scholars permissible per supervisor, etc.

- 6.1 Permanent faculty members working as Professor/Associate Professor of the University with a Ph.D. and at least five research publications in peer-reviewed or refereed journals and permanent faculty members working as Assistant Professors in University with a Ph.D., and at least three research publications in peer-reviewed or refereed journals may be recognized as a Research Supervisor in the university where the faculty member is employed or in its affiliated Post-graduate Colleges/institutes. Such recognized research supervisors cannot supervise research scholars in other institutions, where they can only act as co-supervisors. (Ph.D. awarded by the University under the supervision of a faculty member who is not an employee of the University or its affiliated Post-graduate Colleges/institutes would be in violation of these regulations.)
- 6.2 Provided that in areas/disciplines where there is no, or only less than 20 (twenty) peer-reviewed or refereed journals, the University may relax the above condition for recognition of a person as Research Supervisor with reasons recorded in writing.
- 6.3 For scientists working in Central government/ State government research institutions whose Ph.D. degrees are awarded by Kannur University, the scientists in such research institutions who are equivalent to Professor/Associate Professor/Assistant Professor can be recognized as supervisors if they fulfil the above requirements. For this purpose, Scientists in Grade D, Grade E, Grade F & G are equated with Assistant Professor, Associate Professor and Professor respectively.
- 6.4 Adjunct Faculty members shall not act as Research Supervisors and can only act as co-supervisors.
- 6.5 In case of interdisciplinary/multidisciplinary research work, if required, a Co-Supervisor from outside the Department/School/Centre/College/University may be appointed.
- 6.6 An eligible Professor/Associate Professor/Assistant Professor can guide up to eight (8) / six (6) / four (4) Ph.D. scholars, respectively, at any given time.
- 6.7 In case of relocation of a female Ph.D. scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the Higher Educational Institution to which the scholar intends to relocate, provided all the other conditions in these Regulations are followed and the research work does not pertain to a project sanctioned to the parent Institution/Supervisor by any funding agency. Such scholar shall, however, give due credit to the parent institution and the Supervisor for the part of research already undertaken.

6.8 Faculty members with less than three years of service before superannuation shall not be allowed to take new research scholars under their supervision. However, such faculty members can continue to supervise Ph.D. scholars who are already registered until superannuation and as a co-supervisor after superannuation, but not after attaining the age of 70 years.

7. Admission of International students in Ph.D. programme

7.1 Each Supervisor can guide up to two international research scholars on a supernumerary basis over and above the permitted number of Ph.D. scholars as specified in clause 6.6 above as per the guidelines/norms in this regard issued by University from time to time.

8. At any point, the total number of Ph.D. scholars under a faculty member, either as a supervisor or a co-supervisor, shall not exceed the number prescribed in clause 6.6 and clause 7.1.

9. Procedure for Granting Registration

9.1 Based on the recommendation of the Department Research Committee, the Director of Research shall issue orders granting registration to the Ph.D. programme.

9.2 Selected candidates are required to join the research centre within the date specified by the University.

9.3 At the time of joining, the candidate has to pay prescribed fees and caution deposit fixed by the University and Head of the Research Centre shall ensure the same.

10. Course Work.- Credit requirements, number, duration, syllabus, minimum standards for completion, etc.

10.1 The course work for Ph.D. shall be of a minimum of 12 credits, including "Research and Publication Ethics" and a research methodology courses. The Research Advisory Committee can also recommend UGC recognized online courses as part of the credit requirements for the Ph.D. programme.

10.2 All courses prescribed for Ph.D. coursework are to be duly approved by the Department Research Committee concerned.

- 10.3 All Ph.D. scholars, irrespective of discipline, shall be required to train in teaching / education/pedagogy/writing related to their chosen Ph.D. subject during their doctoral period. Ph.D. scholars may also be assigned 4-6 hours per week of teaching/research assistantship for conducting tutorial or laboratory work and evaluations.
- 10.4 A Ph.D. scholar must obtain a minimum of 55% marks or its equivalent grade in the UGC 10-point scale in the course work to be eligible to continue in the programme and submit his or her thesis.
- 10.5 Conduct of the course work examination and valuation of the answer scripts shall be done by the course work co ordinator/Head of the department.
- 10.6 The qualified candidates shall be issued a certificate by the Course work co ordinator, informing them the eligibility to continue their research work within one week of the course work examination.
- 10.7 Course work co ordinator/Head of the Department will forward list of candidates who have successfully completed course work along with their mark list and copies of course work completion certificates to the Director of Research.
- 10.8 The candidates admitted to the Ph.D. programmes shall be required to complete the course work of six months / one semester duration. Desirous candidates will be permitted to attend course work in two spells (3 months each) with effect from 2021 - 22 Ph.D. Admission. The fee for candidates attending the second spell shall be as fixed by the University from time to time. A minimum of 55% marks in each paper is necessary for the successful completion of the coursework. If the candidate fails to clear the coursework, they will have to take the examination in the following year. If the candidate fail to clear the course work in the second attempt also, their registration will automatically stand cancelled.
- 10.9 The Course Work programme shall be held only once in a year and once the candidate registers for the Course Work, they have to qualify the Course work examination within two year of the date of registration of Course work. The registration of the candidates who fail to qualify the coursework examination even after the supplementary chance will stand cancelled automatically. There will be no revaluation for the coursework examination.
- 10.10 M.Phil Degree holders (awarded within five years before the date of implementation of these Regulations) be exempted from Course work upon specific recommendation by the

Department Research Committee (DRC). Such candidates are required to pass two papers - Research & Publication Ethics and Subject Specific Paper

11. Department Research Committee

- 11.1 There shall be a Department Research Committee or an equivalent body for each subject for which Ph.D. is offered by the University.
- 11.2 The DRC shall consist of a DRC Convener, an External Expert, and Chairman of the PG Board (with guideship)
- 11.3 The DRC convener will be the HoD (with guideship) for subjects offered by University teaching departments.
- 11.4 For subject where the University has no departments, any research supervisor from University department shall be nominated by the Vice- Chancellor as the DRC convener.
- 11.5 In case, if there is no eligible Research supervisor in a University department, a research supervisor from one of the other related University departments shall be nominated by the Vice-Chancellor as DRC convener.
- 11.6 External expert in DRC will be nominated by the Vice- Chancellor from a panel of experts (not less than three) recommended by the DRC.
- 11.7 The DRC shall have the following responsibilities,
- i. To review the Ph.D application during the time of admission, scrutinize the pre-registration synopsis, suggest corrections (if any), interview the qualified Ph.D applicants, allocate a guide for each eligible applicant subject to vacancy and expertise of available research supervisors.
 - ii. To recommend title change of the thesis.
 - iii. To recommend extension of Research period.
 - iv. To recommend Guide/centre change.
- 11.8 No business shall be transacted by the DRC, if the external expert is absent.

12. Research Advisory Committee and its Functions

- 12.1 There shall be a Research Advisory Committee or an equivalent body for similar purpose as defined in the Statutes/Ordinances of the University for each Ph.D. scholar.

- 12.2 The committee shall consist of Research Supervisor, Head of the Research Centre/Department, (If the head is a Research Supervisor) and an External Expert/a Professor.
- 12.3 The Head of the Research centre may nominate a faculty (If the faculty is a Research Supervisor) of their research centre to RAC. However, Head of the Research Centre shall invariably be present in RAC meetings.
- 12.4 The Research Supervisor of the Ph.D. scholar concerned shall be the Convener of this committee, and this committee shall have the following responsibilities.
- i. To review the research proposal and finalize the topic of research
 - ii. To guide the Ph.D. scholar in developing the study design and methodology of research and identify the course(s) that the scholar may have to do.
 - iii. To periodically review and assist in the progress of the research work of the Ph.D.scholar.
- 12.4 Each semester, a Ph.D. scholar shall appear before the Research Advisory Committee to make a presentation of their research work. The Research Advisory Committee shall submit its recommendations to the Director of Research along with a copy of Ph.D. scholars' progress report every 6 (six) months.
- 12.5 In case the progress of the Ph.D. scholar is unsatisfactory, the Research Advisory Committee shall record the reasons for the same and suggest corrective measures. If the Ph.D. scholar fails to implement these corrective measures, the Research Advisory Committee may recommend, with specific reasons, the cancellation of the registration of the Ph.D. scholar from the Ph.D. programme

13. Evaluation and Assessment Methods

- 13.1 Upon satisfactory completion of course work and obtaining the marks/grade prescribed in clause 10.4 above, the Ph.D. scholar shall be required to undertake research work.
- 13.2 Before submitting the dissertation/thesis, the Ph.D. scholar shall make a presentation before the Research Advisory Committee, which shall also be open to all faculty members and other research scholars/students. The feedback and comments obtained from them may be suitably incorporated into the draft dissertation/thesis in consultation with the Research Advisory Committee.

- 13.3 Candidate shall submit the final thesis within 3 months of completion of Pre- Ph.D presentation.
- 13.4 A Ph.D. scholar shall submit the thesis for evaluation along with (a) an undertaking from the Ph.D. scholar that there is no plagiarism and (b) a certificate from the Research Supervisor attesting to the originality of the thesis and that the thesis has not been submitted for the award of any other degree/diploma to any other Higher Educational Institution.
- 13.5 Anti - Plagiarism Policy
- i. 'Turnitin' shall be the approved software for plagiarism checking in Kannur University.
 - ii. Research scholars of University Departments may check the plagiarism through their HoD/ HGCL (Where recommended by the DRC)
 - iii. For Scholars of affiliated colleges and other approved research centres, they may check plagiarism at University departments offering Ph.D.s in concerned subjects.
 - iv. For Ph.D. scholars, whose subjects are not available at University Departments, plagiarism may be checked at Dr. Hermann Gundert Central library.
 - v. The acceptable limit of Plagiarism shall be upto 10%, beyond which the thesis shall not be accepted for awarding of Ph.D.
- 13.6 The candidate shall submit two hardcopies of the abstract of the thesis (not more than 2000 words), duly signed by the candidate and forwarded by the supervisor through proper channel to the Director of Research.
- 13.7 The candidate shall submit four hard copies of the thesis duly certified by the research supervisor and the Head of the Research Centre to the Director of Research.
- 13.8 The thesis shall be printed on A4 size with double sided printing. Running matter shall be in Times New Roman font with 12 point and 1.5 line spacing. The total number of words used in the thesis shall not exceed 80000 (Eighty thousand) excluding certificates, bibliography and cover pages.
- 13.9 The abstract and the thesis shall also be submitted electronically as per the guidelines issued by the University.
- 13.10 The Ph.D. thesis submitted by a Ph.D. scholar shall be evaluated by their Research Supervisor and at least two External Examiners who are experts in the field and not in employment of Kannur University. Such examiner(s) should be academics with a good

record of scholarly publications in the field. Wherever possible, one of the external examiners should be chosen from outside India. The *viva-voce* board shall consist of the Research Supervisor and at least one of the two external examiners and shall be conducted online. The *viva-voce* shall be open to the members of the Research Advisory Committee/ faculty members/ research scholars, and students.

13.11 The *viva-voce* of the Ph.D. scholar to defend the thesis shall be conducted if both the external examiners recommend acceptance of the thesis after incorporating any corrections suggested by them. If one of the external examiners recommends rejection, University shall send the thesis to an alternate external examiner from the approved panel of examiners, and the *viva-voce* examination shall be held only if the alternate examiner recommends acceptance of the thesis. If the alternate examiner does not recommend acceptance of the thesis, the thesis shall be rejected, and the Ph.D. scholar shall be declared ineligible for the award of a Ph.D.

13.12 University shall complete the entire process of evaluating a Ph. D. thesis, including the declaration of the *viva-voce* result, within a period of six (6) months from the date of submission of the thesis.

13.13 Panel of Examiners

- a. The supervisor shall submit a confidential panel of 10 (ten) Examiners with e-mail address, Phone/ Mobile number, etc. in the format prescribed by the University along with the proof of their willingness to adjudicate the thesis and their preferred mode of receipt of the thesis (soft copy/hard copy). The panel shall be submitted on or before the date of the candidates' Pre- Submission seminar.
- b. The panel of Examiners shall be counter signed by the Head of the Research Centre and sent to the Director of Research, for onward transmission to the Vice Chancellor for approval.
- c. A maximum of 4 (Four) Examiners from a state and 2 (two) Examiners from an Institute/University is permissible. However, this clause is not applicable for the following regional languages – Kannada and Malayalam.
- d. The Examiners shall be Professors/Asso. Professors/Asst. Professors or Scientists preferably at the levels of University Professors.

13.14 Public *Viva – voce* Examination

- a. The *Viva – Voce* examination shall be conducted online via Online.

- b. The Vice Chancellor shall constitute a *viva-voce* board of examiners for the conduct of the *viva-voce* examination and the supervisor shall be the convener of this board. Head of the Research Centre, and one of the External Examiner shall be the other members. If there is a Co- Guide, they shall also be the member of Public *viva-voce* board.
- c. The supervisor and External Examiner shall fix a date for the public *viva-voce* examination giving at least 14 days for the University to provide permission for the conduct of *viva – voce*.
- d. The supervisor shall be authorised to organize the public *viva – voce* examination for which the dean of faculty, faculty members, research scholars, the public and the other experts from this University and other Universities may be invited.
- e. Web Release regarding the online Viva – Voce will be uploaded to Research Portal of the University website. A notice shall also be displayed in the Department/Research centre notice board 10 days in advance of the date of *viva-voce* examination.
- f. A copy of the thesis shall be made available to the public at the department for perusal at least 7 days prior to the *viva-voce* examination. The thesis shall also be made available online in Research and Development Cell portal.
- g. The supervisor shall send the online meeting link to all the invitees, once the official communication regarding *Viva-voce* is received from the University. The link for the online meeting shall be made available to the public by the Research and Development Cell.
- h. The *viva-voce* examination shall be conducted only on a working day. The evaluation reports from examiners shall be sent to the members of the board of *viva-voce* examination.
- i. After the presentation, the external examiner shall be given time to raise questions or clarification needed. The audience shall also be permitted to raise questions. When all the questions are answered, the board shall conclude the examination as closed.
- j. Heads of the research centres concerned will be the authority for the necessary arrangements and smooth conduct of Online *Viva– Voce*.
- k. Once the *Viva-voce* is completed, the supervisor shall prepare proceedings, internal report, the list of attendees with their designation and recording of the entire online

Viva – Voce and send these documents to the Research Director after certification by the Head of research centre concerned. The thesis and recordings shall be preserved by the University.

- l. The chairperson (external expert) of the *viva-voce* examination shall send his recommendation directly to the Research Director in the format as prescribed by the University.
- m. The candidate, whose thesis has been recommended for the award of Ph.D. degree by the adjudicators, but fails to satisfy the *viva-voce* board, shall be given a second chance within three months. The thesis of the candidate, who fails to satisfy the *viva-voce* board for the second time, will summarily be rejected.
- n. The recommendations of the *Viva-voce* board along with consolidated evaluation reports and all the evaluation reports shall be forwarded to Vice- Chancellor for approval and the results will be notified by the Controller of Examinations. The degree shall be conferred, once the syndicate approves the recommendations by the *Viva-voce* board.
- o. The date of *viva-voce* as approved by the syndicate shall be reckoned as the date of award of Ph.D degree.

14. Academic, research, administrative, and infrastructure requirements to be fulfilled by Colleges for getting recognition for offering Ph.D. programmes.

- 14.1 All teaching/research departments/centres/inter University centres/central library of the University are considered to be research centres of the University by default.
- 14.2 Post-graduate Colleges offering 4-year Undergraduate Programmes and/or Post-graduate programmes, may offer Ph.D. programmes, provided they satisfy the availability of eligible Research Supervisors, required infrastructure, and supporting administrative and research facilities as per these Regulations.
- 14.3 Colleges and research institutions established by the central government or a State government whose degrees are awarded by Higher Educational Institutions shall offer Ph.D. programmes provided they have:
 - i. At least two faculty members in a college or two Ph.D.-qualified scientists in the research institution.

- ii. Adequate infrastructure, administrative support, research facilities and library resources as specified by the University.
- 14.4 In case of University Departments, the Head of the Department shall be the Head of the Research Centre subject to meeting minimum qualification of a research supervisor. In case of Affiliated Colleges/Research Institutes/ Intra or Inter University Centres, the Head of the Institution (Principal/Director) shall be the head of the research centre.
- 14.5 The Research Centre will be reviewed by the University at least once in two years with a team of Subject Experts nominated by the Vice Chancellor.

15. Leave rules for Research Scholars

- 15.1 Compulsory attendance for scholars availing fellowship need not be insisted, except in the case where the funding agency mandates compulsory attendance or where the study warrants mandatory physical presence of the scholar in the department or laboratory as part of his/her research work. However, the periodic progress report and RAC recommendations of the research scholars would be taken into consideration in evaluating the progress of the research scholar.
- 15.2 Candidates are eligible for Maternity/Paternity/Menstrual leave as per Govt. Of India/Kerala norms issued from time to time at full rates of fellowship. Women candidates shall be provided with Maternity Leave/ Child Care leave once in the entire duration of Ph.D. for a period up to 240 days.
- 15.3 On medical grounds, six months leave shall be availed without any fellowship and it shall be reckoned within the total period of research.
- 15.4 Candidates can avail casual leave as specified by the funding agencies. For scholars availing University fellowship, the Maximum number of casual leaves (with fellowship) that can be availed in a calendar year shall be 15.
- 15.5 The fellow shall not undertake any paid assignment during the tenure of any fellowship (Except in case of Leave without fellowship up to one academic year during the entire tenure)
- 15.6 Attendance register will be maintained in case of students drawing fellowships from institutions that have mandated periodical submission of attendance details.

16. Ph.D. through Part-time Mode

16.1 Ph.D. programmes through part-time mode will be permitted, provided all the conditions stipulated in these Regulations are fulfilled.

16.2 University shall obtain a "No Objection Certificate" through the candidate for a part-time Ph.D. programme from the appropriate authority in the organization where the candidate is employed, clearly stating that:

- i. The candidate is permitted to pursue studies on a part-time basis
- ii. The candidates' official duties permit them to devote sufficient time for research
- iii. If required, the candidate will be relieved from the duty to complete the course work.

16.3 Notwithstanding anything contained in these Regulations or any other Rule or Regulation, for the time being in force, the **University shall not conduct Ph.D. Programmes through distance education mode.**

17. University shall not offer the M.Phil. (Master of Philosophy) programme.

18. Issuing a Provisional certificate:

Prior to the actual award of the Ph.D. degree, the University shall issue a provisional certificate to the effect that the Ph.D. is being awarded in accordance with the provisions of these Regulations.

19. Award of Ph.D. degrees prior to Notification of these Regulations

Award of degrees to candidates registered for the Ph.D. programme on or after July 11, 2009, till the date of Notification of these Regulations shall be governed by the provisions of the Regulations for Ph.D Registration and Award of degree of Doctor of Philosophy, 2011 or the Kannur University Minimum Standards and Procedure for the Award of Ph.D Degree Regulations, 2018 as the case may be. Further, the award of degrees to candidates already registered and pursuing Ph.D. shall be governed by these Regulations or Kannur University Minimum Standards and Procedure for the Award of Ph.D Degree Regulations, 2018. Nothing in these Regulations shall impact the M.Phil. degree programmes commencing prior to the enactment of these Regulations.

20. Depository with INFLIBNET

Following the successful completion of the evaluation process and before the announcement of the award of the Ph.D. degree(s), the University shall submit an electronic copy of the Ph.D. thesis to INFLIBNET, for hosting the same so as to make it accessible to all the Higher Educational Institutions and research institutions.

Kannur University Ph.D. Regulations, 2022